

# RSA ARCHER FCPA PROGRAM MANAGEMENT SOLUTION



## AT A GLANCE

- Controls & procedures to manage FCPA due diligence activities
- Monitor business transactions associated to international business activities
- Provide issue management and remediation support of findings
- Increased visibility and reporting on high risk activities

## FOREIGN CORRUPT PRACTICES ACT

In 1977, the United States passed the Foreign Corrupt Practices Act (FCPA) which prohibits companies from bribing foreign government and political officials for the purpose of receiving favorable business outcomes. The Act applies both to U.S. businesses and foreign corporations trading securities in the United States and extends to their employees, officers, stockholders and agents.

In recent years the number of prosecutions and imposed fines on individuals and businesses found to be engaging in corrupt business practices has steadily increased. In just the past three years, the U.S. Department of Justice (DOJ) has prosecuted more than 40 cases and in 2013, FCPA related fines totaled more than \$1.2 billion dollars. The U.S. DOJ and U.S. Securities and Exchange Commission (SEC) will undoubtedly continue to aggressively investigate and prosecute FCPA violations. International concerns have also prompted similar laws and acts such as the UK Bribery Act, Canada's Corruption of Public Official's Act (CFPOA) and the international OECD Anti-Bribery Convention.

With the risks to organizations and individuals so high, companies are taking a hard look at the policies and procedures used to conduct business in foreign countries. Organizations are looking at new ways to use technology to help simplify and streamline their due diligence practices and make it easier for employees to better understand their obligations concerning FCPA related activities and providing better organizational transparency and visibility to associated risks.

## RSA ARCHER FCPA SOLUTION

The RSA Archer FCPA program management solution leverages the industry leading Archer platform and core solutions to deliver a highly targeted and integrated system to address the FCPA compliance and due diligence policies and practices organizations must enact in order to enforce and monitor foreign business activities which pose the potential for violating U.S. anti-bribery and foreign corruption laws.

Organizations are better able to meet their FCPA compliance requirements concerning policy management, risk assessment, transaction controls and 3rd party relationships thru the use of this targeted solution. In addition, the solution provides tools to incorporate country and industry specific requirements to support specialized frameworks and processes to track and monitor information and activities of high risk such as gifts, entertainment and charitable donations.

By establishing a systematic process across the enterprise, organizations are able to drive down the cost of compliance while at the same time improving enterprise visibility, reporting and monitoring of all FCPA related activities.

The Archer FCPA program management solution provides organizations with all the necessary tools and methodologies to streamline and automate their FCPA policies and procedures.

[DATA SHEET](#)

## Solution Requirements

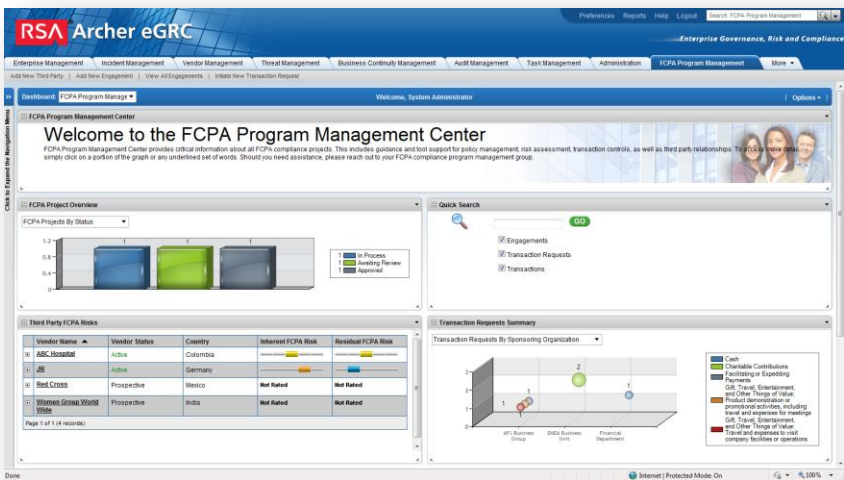
- FCPA License
- Enterprise Management 4
- Policy Management 3
- Vendor Management 4 SP1
- Issue Management 4
- Compliance Management 4
- Archer Platform 5.4 P2

## KEY BENEFITS & CAPABILITIES

- Policy Management: Manage internal FCPA policies with timely review and updates.
- Risk Assessment: Evaluate compliance risk across multiple business domains and activities
- Transaction Controls: Streamline the risk assessment process and capture and organize information utilizing purpose built taxonomy and classification structure
- Control Workflow: Targeted functional and persona based workflow streamlines information flow and traceability and accountability
- 3rd Party Management: Monitor supply chain risk
- Senior Management Visibility: Provide Sr. Management and Business Owners with better visibility and information regarding FCPA related risks and activities across the enterprise

## CONTACT US

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